



3rd Annual bwf/ICMA Capital Markets Conference - 'Reform of Markets Regulation'

Friday, October 8, 2010

Agenda

- 10.00** Registration, Tea & Coffee
- 10.30** **Welcome remarks and Overview of bwf**
Professor Dr. Jörg Franke, Chairman of the Board, bwf
Overview of ICMA
David Hiscock, Senior Advisor – Regulatory Policy, ICMA
- 10.45** **Keynote address: Aktienhandel im Umbruch**
Frank Gerstenschläger, Member of the Executive Board, Deutsche Börse AG
- 11.15** **The review of the EU Directive on Investor Compensation Schemes**
María Teresa Fábregas Fernández, Deputy Head of Unit DG MARKT.G.3 "Securities Markets", European Commission
- 11.45** **Panel Session – Reform of Markets Regulation**
Moderator: David Hiscock, ICMA
Panellist 1: Alex Dockx, WSS, Head of Clearance Product Strategy, J.P. Morgan
Panellist 2: Thomas Eufinger, Abteilungspräsident WA 2, BaFin
Panellist 3: María Teresa Fábregas Fernández, European Commission
Panellist 4: Frank Gerstenschläger, Deutsche Börse AG
Panellist 5: Michael H. Sterzenbach, bwf
- 12.15** **Lunch**
- 13.15** **MaComp - Mindestanforderungen an Compliance und die weiteren Verhaltens-, Organisations- und Transparenzpflichten nach §§ 31 ff. WpHG**
Dr. Günter Birnbaum, Abteilungspräsident WA 3 – Verhaltensregeln & Aufsicht, BaFin
- 13.45** **T2S - Settling without Borders**
Markus Mayers, European Central Bank
- 14.15** **Erste Erfahrungen mit dem gesetzlichen Verbot ungedeckter Leerverkäufe**
Verena Ludewig, Senior Government Official, BaFin
- 14.45** **The changing value chain for trading and post-trading services - what does this mean for broker/dealers?**
Ryanne Cox, Managing Director, KAS Bank



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- 15.15** **Smart Trading im fragmentierten Aktienmarkt**
Adrian Wranik, Senior Consultant, acarda GmbH
- 15.45** **Do we need an EU-wide consolidated tape**
Marcus Schueler, Markit
- 16.15** **Q&A & Closing remarks**
- 16.30** *Drinks/Networking session*
- 17.30** **Close of event**